



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Patriot Excalibur (PEX)

USAF

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR** Enter DITPR System Identification Number
- Yes, SIPRNET** Enter SIPRNET Identification Number
- No**

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes**
- No**

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes**
- No**

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

AUTHORITY: 10 U.S.C 8013, Secretary of the Air Force; powers and duties; delegation by; as implemented by Air Force Instruction 36-2608, Military Personnel Records System, and E.O 9397 (Social Security Number - SSN).

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

Activity/Purpose: Patriot Excalibur (PEX) is unit-level software automation tool that coordinates the activities of military flying squadrons for Flight scheduling, Aircraft Maintenance, Qualification/Continuation training, and management of Aircrew Standardization and Evaluation program. PEX provides information and automated data processing capabilities used to manage and administer Air Force aviation and parachutist management operations such as aircrew and parachutist training and evaluation, flight and jump scheduling functions, flying and parachutist safety and related functions needed to attain and maintain combat or mission readiness. All information is entered into a database maintained at the air base level. This information is then processed for use by flying or parachutist resource managers at all levels through periodic computer product reports or automated system interfaces.

Lifecycle phase: Sustainment.

System owner: Headquarters Air Force Materiel Command, (46 TW/XPI).

System boundaries and interconnections: Restricted to authorized users having a need to know and also meeting Information Assurance (IA) training standards. User may only access the program via the .mil protected domain (PEX users). All user accounts are created in accordance with (IAW) IA standards and created by the local Patriot Excalibur Administrator (PEX users) and use either user name and password or a Common Access Card (CAC). Interconnections consist of a push relationship with the Aviation Resource Management System and a push/pull relationship with AF Unit Command and Control (UC2) System.

Location of PEX system and components, and system backup: System, system components, and backup are located at the local air base level.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

One could determine mission readiness of an individual/crew to include medical status. Types of missions an individual/crew could perform. Users are individually approved on a "need-to-know" basis and access controls are strictly enforced. Records are accessed by person(s) responsible for servicing the record system in performance of their official duties, and by authorized personnel who are properly screened and cleared for need-to-know. It is up to each administrator to ensure this requirement is complied with before access is granted. Records are stored in locked cabinets or rooms. Computer terminals are locked when not in use or kept under surveillance.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

HQ USAF - uses various identification and flying data to establish statistical data needed to verify the effectiveness of standard procedures, determine the need for policy modification, provide a timely and accurate census of various types of flyers and jumpers and provide a centralized point for collection and collation of data used by all levels of management.

AIR FORCE MAJOR COMMANDS, AIR NATIONAL GUARD, AIR FORCE RESERVE COMPONENTS - use all system data to measure the effectiveness of subordinate unit training programs and to check command-wide flying effectiveness.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

TYBRIN CORPORATION - contractor group that develops and maintains the program. Manages the help support desk where contractors will have access to DOD component information. Software Engineering Task Directive F08635-02-C-0034 safeguards PII through DOD Notice and Consent guidelines and Privacy Act Authority 10 U.S.C 8013.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes

No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

The Air Force rules for accessing records, contesting contents, and appealing initial agency determinations are published in Air Force Instruction 33-332, Privacy Act Program; 32 Code of Federal Regulations (CFR) part 806b -- Air Force Privacy Act Program; or may be obtained from the system manager.

(2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

The Air Force rules for accessing records, contesting contents, and appealing initial agency determinations are published in Air Force Instruction 33-332, Privacy Act Program; 32 Code of Federal Regulations (CFR) part 806b -- Air Force Privacy Act Program; or may be obtained from the system manager.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

Privacy Act Statement **Privacy Advisory**
 Other **None**

Describe each applicable format.

Retrieved by name and Social Security Number can be either in the format of a soft or hard copy of information depending on what format they get the data in. If used, DoD and USAF forms have the Privacy Act posted in header. These forms are provided to the recipient in person and collected in the same manner.

PEX provides the following Privacy Act caveat statement to all individuals using data from the system:
When entering the PEX system a "DOD Notice and Consent" Banner is displayed and states the following: "You are accessing a U.S. government (USG) Information System (IS) that is provided for USG-authorized use only. By using this IS (which includes any device attached to this IS, you consent to the following conditions:
- The USG routinely intercepts and monitors communications of this IS for purposes including, but not limited to, penetration testing, COMSEC monitoring, network operations and defense, personnel misconduct (PM), law enforcement (LE), and counterintelligence (CI) investigations.
- At any time, the USG may inspect and seize data stored on this IS.
- Communications using, or data stored on, this IS are not private, are subject to routine monitoring, interception, and search, and may be disclosed or used for any USG authorized purpose.
- This IS includes security measures (e.g., authentication and access controls) to protect USG interests--not for your personal benefit or privacy.
- Notwithstanding the above, using this IS does not constitute consent to PM, LE or CI investigative searching or monitoring of privileged communications, or work product, related to personal

representation or services by attorneys, psychotherapists, or clergy, and their assistants. Such communications and work product are private and confidential. See User Agreement for details. By clicking OK you agree to the terms of the User Agreement.

Web dialogue statement: "PRIVACY ACT STATEMENT. The information contained in this module is protected by the Privacy Act of 1974."

Authority: 10 U.S.C. 8013, Secretary of the Air Force; powers and duties; delegation by; as implemented by Air Force Instruction 36-2608, Military Personnel Records System, and E.O. 9397.

Principal Purposes: Source Data for scheduling individuals for flying time, sorties, and/or events for input in Patriot Excalibur.

Routine Uses: For validation of training currencies and qualifications where necessary to authorize payment of flying incentive pay. Provide basic personnel record for each person, reason for scheduling, crew members, and duty positions.

Disclosure Voluntary: Failure to provide requested information may result in a delay of processing education, flying, and training requirements."

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.

