



## PRIVACY IMPACT ASSESSMENT (PIA)

For the

Senior Leader Career Management System (SLCMS)
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United States Air Force (USAF)
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### **SECTION 1: IS A PIA REQUIRED?**

**a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).**

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel\* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

\* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

**b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.**

**c. If "Yes," then a PIA is required. Proceed to Section 2.**

**SECTION 2: PIA SUMMARY INFORMATION**

**a. Why is this PIA being created or updated? Choose one:**

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

**b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?**

- Yes, DITPR** Enter DITPR System Identification Number
- Yes, SIPRNET** Enter SIPRNET Identification Number
- No**

**c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?**

- Yes**
- No**

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

**d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes**
- No**

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.  
Consult the Component Privacy Office for additional information or  
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

**Date of submission for approval to Defense Privacy Office**

Consult the Component Privacy Office for this date.

**e. Does this DoD information system or electronic collection have an OMB Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

**Yes**

**Enter OMB Control Number**

**Enter Expiration Date**

**No**

**f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

Title 10, United States Code (U.S.C.), Air Force Instruction (AFI) 36-2640, Executing Total Force Development, Air Force Policy Directive (AFPD) 36-26, Total Force Development and Executive Order 9397.

**g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.**

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The Senior Level Career Management System (SLCMS) provides to General, Colonel, Chief and other personnel management groups a one stop center to manage senior leaders' careers, assignments, promotions, and retirements.

The system implements a security administration portal and allows role based access to personnel information. The software is responsible for all work that falls within the jurisdiction of Headquarters Air Force (HAF) DPG, DPO, DPE, DPS, A1D, Major Command (MAJCOM) groups as well as providing view only access to designated information to the general public and will benefit all the user groups in their business interests and improve existing management procedures. Additionally, the system administrator, manually downloads data from Military Personnel Data System (MilPDS) (via DISCOVERER) and Defense Civilian Personnel Data System (DCPDS) (via Business Objects) on a weekly basis into flat files that are uploaded into SLCMS.

Present lifecycle phase is Systems Development and Demonstration.

The hardware, software application, and data are owned by AF/A1XI and is maintained by the 844th Network Operations Service Center, Air Force Pentagon, Washington D.C..

The system boundaries/interconnections are the 844th Network Operations Service Center, Air Force Pentagon, Washington D.C.

The core resources are located in the 844th Network Operations Service Center, Air Force Pentagon, Washington D.C.

Information below is categorized under personnel, position, and school / course assignment information.

**Personnel Information:**

Name, SSN, PAS, RCD Stat, Office Symbol, Duty AFSC, Phone, Action Officer.  
DDR, BPZ, Nick name, TAFMSD, Gender, Core Id, Flag, TAFSCD, RDTM, DEROS, AF Position, DPG POSN, DOB, Comp Cat, Race, Marital Status, DLAB, Languages, Email, Spouse, Bio Addr, High Performance Officer status, DAFSC, PAFSC, 2AFSC, 3AFSC, Aero Rtnng, 28, Func Cat, DAS, EDOD, DDLDS, DOS, Security Clearance, AAC, ALC, Comm Source, GO Qualified status, Joint Start, OSC Id, JQO Stat, Rsn Date, Duty Title, DAFSC, HAFAN, Posn Num, PAS, ASD, RNLTD, PDS RNLTD, JDAP, Critical, Start Date, Stop Date, Days, Reason, Credit, SDE, SDE Looks Left, Projected SDE, BG Eligible, CCL, Comp Cat, Career Plan, CSB Eligible, SQ / CC, JPME2, JPME2 Date, GP / CC, WG / CC, CPCN, PP-SRS-GR, DV Date, Person Tier Code, POSN Tier Code, Career, SCD CIV, SCD RIF, date position was assigned to person, RCD Stat, Duty Phone, Person DV Code, SUP Level, SCD Leave, Date eligible for retirement, Served in joint tour, Non AF Record, DAWIA.

**Retirement Information:**

Retirement, Retirement Announced, Separation, Separation Announced, Position Title, Job Title, PAS Code, Pub Rel Date, CSAF / SECAF Date, Change of command, Type Action, Assignment Notes.

**Development Information:**

Civilian Education, Degree, Specialty, Year, School, Location, Method, Professional Military Education, Course, Method, Year, Continuing Development, Course, Date Completed

**Duty History information:**

Start Date, AFSC, Duty Title, Det, Unit, Type, Level, Location, State, Cmd

Flight Data:

Aero Rate 1, Aero Rate 2, RDTM, Flight Status Code, Aviation Service Date, Aviation Service Effective Date

Aircraft Experience:

Total hours, Jet hours, Aircraft Type, Year, Hours, Combat Hours, Category

Performance Information:

Type of performance, Reason for report, Rating, Start Date, Close out date, End date for the evaluation period, Projected Management Level, Rater, Reviewer, Management Level, Projected Rater, Suspense Date to AFSLMO, PRF Year, Ranking, Date Received, PRF Recommendation, Size, Looks at MGen, Notes

Promotions information:

Current grade, DDR, Effective Date, Perm Eff Date, Curr Prom Zone, BPZ History, Prom Seq Num, Projected Grade, Proj DDR, Proj Eff. Date, Frocking Date, Last Date Confirmed, Policy Separation Date, MRD,

Retirement information:

Status, Status Date, Voluntary or Mandatory, Application Date, Ret / Sep Date, Application Received, Retire Grade, Comp Cat, Service Comp, Prom Board, Public Release Date, Last Day in office, CSAF Letter, Ceremony, Last Day Confirmed, IG Step 1, IG Step 2, Last move home, Fund Site, Special order #, Order Date,

Service Dates:

TAFSCD, DDLDS, TAFMSD, DIEUS, Pay Date, DDS, 1405 Svc Date, DEROS, EAD, AAC1, DOS, AAC2, DAS, ALC1, EDOD, ALC2, STRD, ADSC,

Position Information:

Announced / No confirmation required, Announces / Confirmed, Transfer, Announced / Pending Confirmation, Approved / Ready to Announce, Approved / Not Ready to Announce, Confirmed, On-hold, Action Stopped / Cancelled, Pending Index,

Auth Grade, MAJCOM Grade, Posn DAFSC, Location, DPGN Posn#, AP Posn#, PAS Code, Dev Cat, CPCN#, Office Symbol, Job Title, Roster / short Title, Position Title, Alpha roster Title, Duty History Title, Parent Org, Mgt Level, Det No, MAJCOM, Orgn, MAJCOM Id, Orgn Kind, Cmd Level, JTDPT Agcy, Orgn Type, Date Posn Estab, Pay Plan, Series, Wing CC, DV Code, Tier, Obj Force, Dept Seq, Super Level, DC area(yes /no), Org Seq, Competencies, SOC

Flying Training

Contact info for positions:

Secretary, DSN Phone, Exec, Comm Phone, Exec Email, DSN Fax, Phy Bldg, Comm Fax, Phy Str, Office Symbol, Phy Inst, NAIS Str, NAIS city, Pentagon room, NAIS Ctry / St, NAIS Zip, Senior Rater, DPD, ML, SR Email, DPD Phone, ML Email, SR DSN, DPD Fax, ML DSN, SRID, DPO Email, ML Comm Phone

Management Level Information: Name of the management level, Organization, Last name of person at the management level

School / Course Information:

Institution name, Acronym, Street address, City, State, Zip, Country

Course Information:

Institution, Eligibility, Dev. Cat, Course name, Cost, Start Date, RNLTD, PDS Code, Seats, End Date, RNETD, Seats filled, Course length, Prerequisite, Seats remaining, Street, City, State, Zip, Funding, Contact Information, Course POC Name, Course POC Alternate, Course POC email 1, Course POC email 2, Course POC telephone, Course POC DSN, Course POC Fax, Course POC Fax DSN, Course web site, AFLSLMO AO (list of personnel that can be assigned as AFLSMO AO for the course), AFLSLMO POC email,

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

The perceived threats are primarily computer hackers, disgruntled employees and state-sponsored information warfare.

All systems are at risk because they may be vulnerable to unauthorized intrusion and hacking. There are risks that SLCMS, with its extensive collection of PII, could be compromised.

Because of this possibility, appropriate security and access controls listed in this PIA are in place.

All systems are vulnerable to "insider threats". SLCMS administrators are vigilant to this threat by limiting system access to those individuals who have a defined need to access the information. There are defined criteria to identify who should have access to SLCMS. These individuals have gone through extensive background and employment investigations.

Mitigation:

The following controls are used to mitigate the risks:

a) Access Controls. Access controls limit access to the application and/or specific functional areas of the application. These controls consist of privileges, general access, password control and discretionary access control. Additionally, each user is associated with one or more database roles. Each role provides some combination of privileges to a subset of the application tables. Users are granted only those privileges that are necessary for their job requirements. The same roles that protect the database tables also determine which buttons and menu items are enabled for the user currently logged on.

b) Confidentiality. This ensures that data is not made available or disclosed to unauthorized individuals, entities, or processes.

c) Integrity. This ensures that data has not been altered or destroyed in an unauthorized manner.

d) Audits. This includes review and examination of records, activities, and system parameters, to assess the adequacy of maintaining, managing and controlling events that may degrade the security posture of the application.

e) Training. Security training is provided on a continuous basis to keep users alert to the security requirements. Visual effects are used as constant reminders to ensure users always remain aware of their responsibilities.

f) Physical Security. This consists of placing servers that contain privileged information in a secure and protected location, and to limit access to this location to individuals who have a need to access the servers. An internal policy is set in place to ensure that there are always no less than two users present at a time when privileged information is being retrieved. Since the server and data reside within an Air Force establishment, the strict security measures set by the establishment are always followed.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.**

**Within the DoD Component.**

Specify.

**Other DoD Components.**

Specify.

**Other Federal Agencies.**

Specify.

**State and Local Agencies.**

Specify.

**Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

**Other** (e.g., commercial providers, colleges).

Specify.

**i. Do individuals have the opportunity to object to the collection of their PII?**

**Yes**  **No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

The Senior Leader Career Management System is not the originating data source for the PII data collected. In compliance with AFI 33-332 paragraph 12.4.1; for the host data source for the PII data used, any data released without consent of the subject is required in the performance of official duties.

**j. Do individuals have the opportunity to consent to the specific uses of their PII?**

**Yes**  **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

In accordance with AFI 33-332 Rules for Releasing Privacy Act Information Without Consent of the Subject, individual consent is not required to disseminate the data stored in the Military Personnel Data System (MilPDS) or Defense Civilain Personnel Data System (DCPDS) which are the authoritative data sources for PII data for the Senior Leader Career Management System.

**k. What information is provided to an individual when asked to provide PII data?** Indicate all that apply.

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> <b>Privacy Act Statement</b> | <input checked="" type="checkbox"/> <b>Privacy Advisory</b> |
| <input type="checkbox"/> <b>Other</b>                            | <input type="checkbox"/> <b>None</b>                        |

Describe each applicable format.

The requester shows and, upon request, gives the affected individual a Privacy Act Statement for each form, format, or form letter used to collect personal data before asking for the information. The statement is delivered in electronic format.



**NOTE:**

**Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.**

**A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.**























