

## PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

MNIS PEGASUS/GRIFFIN ENVIRONMENT (MPE)

**2. DOD COMPONENT NAME:**

United States Air Force

**3. PIA APPROVAL DATE:**

11/03/20

### SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a. The PII is:** (Check one. Note: foreign nationals are included in general public.)

- |   |   |
|---|---|
| <input type="checkbox"/> From members of the general public   | <input checked="" type="checkbox"/> From Federal employees and/or Federal contractors |
| <input type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4)              |

**b. The PII is in a:** (Check one)

- |  |   |
|--|---|
| <input type="checkbox"/> New DoD Information System                    | <input type="checkbox"/> New Electronic Collection      |
| <input checked="" type="checkbox"/> Existing DoD Information System    | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System |   |

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

The purpose of MNIS Pegasus/Griffin environment is to enhance national defense, increase lethality, and strengthen alliances, the DoD will promote effective information sharing among mission partners (DoD Directive 5101.22E). The program's objective is to provide a wide array of information sharing capabilities making a variety of official/work related contact information available for FVEY coalition warfighters (such as Australia, Canada, New Zealand, United Kingdom, and the United States of America); promoting consistent and seamless collaboration opportunities at both a national and international level.

A key element of Pegasus information sharing is the Directory Service. The purpose of the Pegasus Directory Service is to facilitate the exchange of directory information amongst the (FVEY) partnered nations and relies on each FVEY nation sharing its national authoritatively sourced directory with each of the other FVEY nations on a bilateral basis. Doing so enables the FVEY subscribers of a supported information sharing capability to search for, find, and contact other subscribers within the FVEY ecosystem. For example, a DoD person wishing to send an email to a UK military contact will lookup (search for) the UK contact in the DoD Global Address List (GAL) and obtain the email address for the UK person. The DoD person would then send the email to the UK contact based on the displayed email address in the DoD GAL.

The Pegasus Directory service does not collect PII directly from an individual. Rather, the Pegasus Directory Service obtains directories that are obtained from national authoritative sources and makes them available within national systems that are designed to utilize this information. For DoD sourced directory data, the Pegasus Directory Service will retrieve this data from the Identity Synchronization Service (IdSS) that is covered under SORN K890.14 and share this information with the other FVEY partnered nations based on established bilateral agreements and Allied Communication Publications (ACPs) that define the specifications and governance of the exchanged information.

The information obtained from IdSS and exchanged with the FVEYs by the Pegasus Directory service is used to facilitate business communications via voice, video, email, and chat. This data is generally considered to be non-sensitive PII.

The Pegasus Directory Service shares the following information obtained from IdSS with the FVEY mission partners: Name, Rank/Grade, Position/Title, Role, Email address, Telephone (mobile, secure, duty phone), Voice over IP (telephone number, secure number), and Jabber (Chat) Identification

When DoD receives directory information from the other FVEY nations, it similarly will handle the data based on the established bilateral agreement and ACPs, and incorporate the information within the DoD enterprise by using IdSS; such that the information is available to all subscribing DoD Components or CC/S/As (Combatant Commands, Services, and Agencies).

Use of the Pegasus program and its related Directory Services that provide the ability to search or view results is restricted to authorized and

authenticated personnel that have access to the restricted network domain. Such is the case for all persons accessing the program (within DoD and within each FVEY nation).

**d. Why is the PII collected and/or what is the intended use of the PII?** (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Mission Related Use: The use of the PII in the Pegasus program facilitates allied mission support through information sharing between the FVEY partnered nations.

Identification: The Pegasus Directory Service provides the FVEY warfighters with immediate access to search for a desired FVEY individual or group and to select the most appropriate method for contacting and collaborating with them (phone, email, chat, video, etc.)

**e. Do individuals have the opportunity to object to the collection of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Display of contact data is required to implement and operate DoD and FVEY communications systems. Contact data is made available so that individuals can communicate with each other in a variety of modes (voice, video, IM/chat, and email) that are required for individuals to perform their mission supporting activities.

Information used and displayed within the Pegasus Directory Services depends on data obtained from an authoritative source. In the case of DoD directories, the data is collected by DEERS. DEERS is provided by the Defense Manpower Data Center (DMDC), who functions as the DoD Data wholesaler for personnel data. These underlying systems collectively provide individuals the ability to review and update their data.

Individuals seeking to determine whether information about themselves is contained in this system of records can submit written inquiries to Defense Information Systems Agency (DISA) and Multi-National Information Sharing (MNIS).

**f. Do individuals have the opportunity to consent to the specific uses of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

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**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

Privacy Act Statement  Privacy Advisory  Not Applicable

Authority: 10 U.S.C. 9013, Secretary of the Air Force: powers and duties; DoD Directive 5101.22E, DoD Executive Agent (DoD EA) for Mission Partner Environment; DoDI 4000.19, Support Agreements; DMDC 02 DoD, Defense Enrollment Eligibility Reporting System; Homeland Security Presidential Directive Number 12 (HSPD-12), Policy for a Common Identification Standard for Federal Employees and Contractor.

Purpose: The purpose of the Pegasus Directory Service is to facilitate the exchange of directory information amongst the (FVEY) partnered nations and relies on each FVEY nation sharing its national authoritatively sourced directory with each of the other FVEY nations on a bilateral basis. Pegasus is a capability that provides a wide array of information sharing capabilities (ex. email, phone, video, and chat) to enable a variety of contact options for FVEY coalition warfighters; promoting consistent and seamless collaboration opportunities at both a national and international level.

Routine Use: In addition to those disclosures generally permitted under 5 U.S.C. 552a (b) of the Privacy Act, as amended, these records contained therein may specifically be disclosed outside the DoD as a routine use pursuant to 5 U.S.C. 552a (b) (1) as follows:

a. To the appropriate Federal, State, local, territorial, tribal, foreign, or international law enforcement authority or other appropriate entity where a record, either alone or in conjunction with other information, indicates a violation or potential violation of law, whether criminal,

civil, or regulatory in nature.

b. To any component of the Department of Justice for the purpose of representing the DoD, or its components, officers, employees, or members in pending or potential litigation to which the record is pertinent.

c. In an appropriate proceeding before a court, grand jury, or administrative or adjudicative body or official, when the DoD or other Agency representing the DoD determines that the records are relevant and necessary to the proceeding; or in an appropriate proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding.

d. To the National Archives and Records Administration for the purpose of records management inspections conducted under the authority of 44 U.S.C. §§ 2904 and 2906.

e. To a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of, and at the request of, the individual who is the subject of the record.

f. To appropriate agencies, entities, and persons when (1) the DoD suspects or has confirmed that there has been a breach of the system of records; (2) the DoD has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, the DoD (including its information systems, programs, and operations), the Federal Government, or national security; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the DoD's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm.

g. To another Federal agency or Federal entity, when the DoD determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (1) responding to a suspected or confirmed breach or (2) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

h. To contractors, grantees, experts, consultants, students, and others performing or working on a contract, service, grant, cooperative agreement, or other assignment for the federal government when necessary to accomplish an agency function related to this system of records.

Disclosure: Mandatory. Official contact information is required in order to use DOD systems

SORN: K890.14 DoD, Identity Synchronization Service (IdSS) (December 08, 2010, 75 FR 76428) <https://dpcl.d.defense.gov/Privacy/SORNsIndex/DOD-wide-SORN-Article-View/Article/570712/k89014-dod/>

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)**

Within the DoD Component

Specify.

All DISA organizations with authorized access to the Identity and Synchronization Service (IdSS) will have the ability to use search tools such as the DoD Enterprise Email Global Access List on SIPRNET to search for and view results enabled by the DoD Pegasus Directory Services program.

Other DoD Components

Specify.

All DoD Components (CC/S/A) with authorized access to the Identity and Synchronization Service (IdSS) will have the ability to use search tools such as the DoD Enterprise Email Global Access List on SIPRNET to search for and view results enabled by the DoD Pegasus Directory Services program.

Other Federal Agencies

Specify.

State and Local Agencies

Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

All DoD Components can, at their discretion, subscribe specific DoD contractors to various DoD Enterprise Services capabilities that have authorized access to the Identity and Synchronization Service (IdSS). Doing so will provide the ability to use search tools such as the DoD Enterprise Email Global Access List on SIPRNET to search for and view results enabled by the DoD Pegasus Directory Services program.

DoD will share its directory with each of its FVEY partnered nations on a bilateral basis and in turn will consume directories from each FVEY partners. All partners will be responsible for controlling access to, use, and disposition of the concerned data and applications upon which they reside.

Contract Clause per Federal Acquisition Regulation: 52.224-1 Privacy Act Notification.

As prescribed in 24.104, insert the following clause in solicitations and contracts, when the design, development, or operation of a system of records on individuals is required to accomplish an agency function:

Privacy Act Notification (Apr 1984)

The Contractor will be required to design, develop, or operate a system of records on individuals, to accomplish an agency function subject to the Privacy Act of 1974, Public Law 93-579, December 31, 1974 (5 U.S.C. 552a) and applicable agency regulations. Violation of the Act may involve the imposition of criminal penalties.

(End of clause)

52.224-2 Privacy Act.

As prescribed in 24.104, insert the following clause in solicitations and contracts, when the design, development, or operation of a system of records on individuals is required to accomplish an agency function:

Privacy Act (Apr 1984)

(a) The Contractor agrees to—

(1) Comply with the Privacy Act of 1974 (the Act) and the agency rules and regulations issued under the Act in the design, development, or operation of any system of records on individuals to accomplish an agency function when the contract specifically identifies—

(i) The systems of records; and

(ii) The design, development, or operation work that the contractor is to perform;

(2) Include the Privacy Act notification contained in this contract in every solicitation and resulting subcontract and in every subcontract awarded without a solicitation, when the work statement in the proposed subcontract requires the redesign, development, or operation of a system of records on individuals that is subject to the Act; and

(3) Include this clause, including this paragraph (3), in all subcontracts awarded under this contract which requires the design, development, or operation of such a system of records.

(b) In the event of violations of the Act, a civil action may be brought against the agency involved when the violation concerns the design, development, or operation of a system of records on individuals to accomplish an agency function, and criminal penalties may be imposed upon the officers or employees of the agency when the violation concerns the operation of a system of records on individuals to accomplish an agency function. For purposes of the Act, when the contract is for the operation of a system of records on individuals to accomplish an agency function, the Contractor is considered to be an employee of the agency.

Other (e.g., commercial providers, colleges).

Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

Existing DoD Information Systems

Commercial Systems

NOTE: Sections 1 above is to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy. A Component may restrict the publication of Sections 1 if they contain information that would reveal sensitive information or raise security concerns.